



Lt. Col A K Srivastava (Retd)  
Registrar

भारतीय प्रौद्योगिकी संस्थान रुड़की

रुड़की-247 667, उत्तराखण्ड, भारत

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

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सं० भा० प्रौ० सं० रु० / एम० एस० / 28<sup>वीं</sup> एफसी /

No. IITR/MS/28<sup>th</sup> FC/ 7444

दिनांक: 9 अप्रैल 2012

Dated 9<sup>th</sup> April 2012

All Members of the Finance Committee  
Indian Institute of Technology Roorkee

**Sub: Minutes of the 28<sup>th</sup> Meeting of the Finance Committee of the Indian Institute of Technology Roorkee held on 12<sup>th</sup> March 2012.**

Kindly find enclosed the minutes of the 28<sup>th</sup> Meeting of the Finance Committee of the Institute, which have been duly approved by the Chairman, Board of Governors.

It is requested that comments, if any, on the above referred minutes may kindly be sent to the undersigned within 15 days. If no comments are received within this period, it will be presumed that you agree with the minutes as recorded, and action would be initiated to implement the same.

Encl: As above

09 APR 2012

(अनिल कुमार श्रीवास्तव)

(A.K. Srivastava)

Lt. Col. (Retd)/ले० कर्नल (सेवानिवृत्त)

कुलसचिव एवं सचिव, अभिशासक परिषद्

Registrar & Secretary, BoG

**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE**  
**ROORKEE 247 667**



**28<sup>TH</sup> MEETING OF THE FINANCE COMMITTEE**  
**DAY & DATE: MONDAY, THE 12<sup>TH</sup> MARCH 2012**

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**भारतीय प्रौद्योगिकी संस्थान रुड़की**  
**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE**  
**रुड़की – 247 667**  
**ROORKEE – 247 667**



वित्त समिति की दिनांक 12 मार्च 2012 को संस्थान के परिशद कक्ष में पूर्वान्ह 10.30 पर आहूत 28<sup>वीं</sup> बैठक का कार्यवृत्त।

**Minutes of the 28<sup>th</sup> Meeting of the Finance Committee held on 12<sup>th</sup> March 2012 at 10.30 A.M. in the Board Room of the Institute.**

The following were present:

- |    |   |                   |
|----|---|-------------------|
| 1. | Mr. Analjit Singh                             | Chairman          |
| 2. | Prof. Pradipta Banerji, Director, IIT Roorkee | Member            |
| 3. | Prof. Ravi Bhushan, IIT Roorkee               | Member            |
| 4. | Prof. Pradeep Kumar, Dean (F&P), IIT Roorkee  | Member            |
| 5. | Prof. D.K. Paul, Dy. Director, IIT Roorkee    | Permanent Invitee |
| 6. | Lt. Col. (Retd.) A.K. Srivastava, Registrar   | Secretary         |

At the outset, the Chairman welcomed the members to the 28<sup>th</sup> meeting of the Finance Committee.

Before taking up the agenda, the Finance Committee thanked the under mentioned outgoing members and recorded its appreciation for their valuable contribution in the meetings of the Finance Committee: -

1. Prof. S.K. Khanna  
Former Chairman, AICTE  
Jaypee Institute of Information Technology (JIIT)  
A-10, Sector-62  
Noida 201 307 (Uttar Pradesh)
2. Prof. Surendra Kumar  
Department of Chemical Engineering  
Indian Institute of Technology Roorkee
3. Prof. N.M. Bhandari  
Department of Civil Engineering  
Indian Institute of Technology Roorkee

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The Finance Committee also welcomed the under mentioned members and solicited their valuable contribution and active participation in its functioning:

1. Mr. R.S.T. Sai  
Chairman & Managing Director  
Tehri Hydro Development Corporation Ltd.  
Pragtipuram, Bypass Road  
Rishikesh – 249201 (Uttarakhand)
2. Prof. Ravi Bhushan  
Department of Chemistry  
Indian Institute of Technology, Roorkee
3. Prof. Pardeep Kumar  
Dean, Finance & Planning  
Indian Institute of Technology Roorkee
4. Prof. D.K. Paul  
Dy. Director  
Indian Institute of Technology Roorkee

Due to pre-occupation, Sri Amit Khare, IAS, Joint Secretary (ICC) MHRD, Sri A.N.Jha, Additional Secretary & Financial Advisor, MHRD and Sri R.S.T. Sai, CMD, THDC, Rishikesh could not attend the meeting.

The agenda was then taken up.

**Item No.28.1: Confirmation of the minutes of the 27<sup>th</sup> meeting of the Finance Committee held on 20<sup>th</sup> August 2011.**

The Finance Committee **noted** that the minutes of the 26<sup>th</sup> meeting of the Finance Committee held on 21.04.2011, were circulated to the members of the Finance Committee (vide letter No. IITR/MS/26<sup>th</sup> FC/4811 dated 14<sup>th</sup> May 2011) for their comments, and that no comments were received.

The Finance Committee **Confirmed** the Minutes of the 27<sup>th</sup> meeting.



**Item No.28.2: Report of Actions Taken on the Minutes of the 27<sup>th</sup> Meeting of the Finance Committee held on 20<sup>th</sup> August 2011.**

The Finance Committee **considered** Action Taken on the Minutes of the 27<sup>th</sup> meeting of the Finance Committee held on 20<sup>th</sup> August 2011.

The Finance Committee **noted** the actions taken as reported.

**Item No. 28.3: Honorarium of Rs.15,000/- per month to the Faculty who are fellows of at least two of the four specified Science Academies in the country.**

The Finance Committee **Recommended** that an honorarium of Rs.15,000/- per month be paid to those faculty members, who are either recipients of the Shanti Swarup Bhatnagar Prize and/or are fellows of at least two of the four under-mentioned National Academies:-

- (a) National Academy of Sciences, Allahabad.
- (b) Indian Science Academy, Bangalore.
- (c) Indian National Science Academy, New Delhi.
- (d) Indian National Academy of Engineering, New Delhi.

This honorarium will be payable to the eligible faculty member, in addition to salary, with effect from 1<sup>st</sup> April 2008, till they attain the age of 65 years or attain superannuation, whichever is earlier, in accordance with the guidelines enclosed with the University Grants Commission D.O. No.F.1-14/2006(CPP-II) dated 27<sup>th</sup> March 2009. The UGC Guidelines for providing the said honorarium are appended at **Appendix-A**.

**Item No. 28.4: Proposal for enhancement of the remuneration from Rs.500/- to Rs.2000/- p.m. to the dealing assistants working in the Legal Cell.**

The Finance Committee **Recommended** that remuneration being paid to the dealing assistants working in the Legal Cell of the Institute be enhanced from Rs.500/- to Rs.2000/- per month with effect from 1<sup>st</sup> April 2012.



**Item No.28.5: Budget proposals of the Institute for the Financial Year 2012-13.**

The Finance Committee **Recommended** that the under mentioned Budget proposals of the Institute for the Financial Year 2012-13, as given at **Appendix 'B'** be approved:

1. Budget proposals for expenditure under Plan Grant (Normal).
2. Budget proposals for expenditure under Non Plan Grant

**Item No.28.6: Charging of Depreciation on the Assets of the Institute as per the provision of the "Accounting Standard- 6 on Depreciation Accounting"**

The Finance Committee **Recommended** that the proposal for charging of Depreciation on the Assets of the Institute as per the provision of the "Accounting Standard- 6 on Depreciation Accounting" be approved. The rate of depreciation for the various types of fixed assets shall be as under:

Sl. No.	Type of Assets	Percentage rates of Depreciation on the basis of Written Down Value Method (WDVM)
1.	Land	Nil
2.	Buildings	5
3.	Furniture & Fixtures	20
4.	Library Books	10
5.	Machinery & Equipment	20
6.	Motor Buses	20
7.	Vehicles other than Motor Buses	20
8.	Computers & Peripherals	40
9.	Electric Installations	20
10.	Other Fixed Assets	20

The Finance Committee further recommended that the amount of Depreciation from the date of conversion of University of Roorkee into IIT Roorkee i.e. 21<sup>st</sup> September



2001 to the date of implementation of depreciation, be charged to the Capital Fund, and thereafter to the Income & Expenditure Account.

**Item No.28.7: Upward movement to PB-4 with AGP of Rs.9,000/- of Assistant Professors having post Ph.D. experience of six years in an organization of repute.**

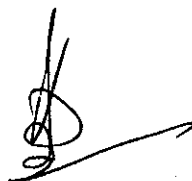
The Finance Committee **Recommended** that those Assistant Professors of the Institute, having three years post doctoral experience in the Academic Grade Pay of Rs.8,000/- rendered in Centrally Funded Technical Institutions, AICTE approved institutions, institutions under the UGC or at institutions of repute abroad shall be moved to Pay Band-4 with an Academic Grade Pay of Rs.9,000/-, after being reviewed by the Standing Committee. This will be applicable to all the Assistant Professors of the Institute, with retrospective effect.

**Item No. 28.8: Reporting of the receipt of Audit Certificate for the F.Y. 2010-2011.**

The Finance Committee **noted** that the Audit Report and Certified copies of the Annual Accounts for the Financial Year 2010-2011 had been received from the Accountant General (Audit), Uttarakhand and the same had been approved by the Chairman, Board of Governors for submission to the MHRD (**Appendix 'C'**).

**Item No.28.9: Proposal for filling up 'C' category supervisory/other staff posts (on contract basis) for IIT Roorkee messes.**

The Finance Committee did not accept the proposal for filling the vacant posts by employing persons on "Regular/Contract" basis be not accepted and decided that only services be requisitioned through a service provider for smooth functioning of the students' messes. The additional expenditure on this account, if any, shall be borne by the students on account of the policy of progressively reducing the subsidy given by the Institute to the Coordination Committee of Bhawans (CCB), which is responsible for running the students' messes.



**The Finance Committee Further Recommended that:**

- (a) Salary Subsidy for the Managerial Staff of the CCB will be 100% for the under-mentioned posts:
  - (i) Managers
  - (ii) Accountants
- (b) The Student Institute salary Subsidy ratio for the remaining mess staff of the CCB (**Details given at Appendix 'D'**) shall remain at the present level, till further orders.

The meeting ended with a vote of thanks to the Chair.

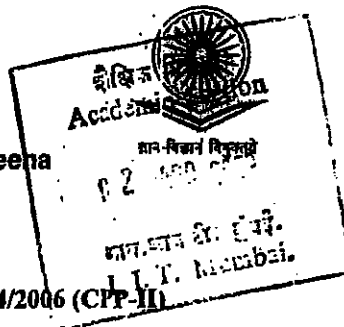
A handwritten signature in black ink, consisting of a stylized 'S' or 'B' shape followed by a long horizontal stroke.

09 APR 2012



# Appendix 'A'

शेखर मीना  
सचिव  
A. Chander Shekhar Meena  
Joint Secretary



D.O. No. F.1-14/2006 (CPP-II)

विश्वविद्यालय अनुदान आयोग  
बहादुरशाह ज़फर मार्ग, नई दिल्ली-110 002  
UNIVERSITY GRANTS COMMISSION  
BAHADUR SHAH ZAFAR MARG  
NEW DELHI-110 002  
OFF. : (011) 23230408  
FAX : (011) 23219705  
E-mail : csmeena@ugc.ac.in  
Website : www.ugc.ac.in  
24th March, 2009

Dear Sir,

In continuation of Chairman, UGC D.O. Letter No. F. 1-14/2006 (CPP-II) dated 1.11.2008 (copy enclosed) regarding special honorarium of Rs. 15,000/- P.M. to the teachers who have been awarded Shanti Swarup Bhatnagar award or who are fellow of least two of the four Science Academies identified by the UGC, I am to say that the UGC at its meeting held on 27.1.2009 (Item No. 1.02 (a) (v) has approved the Guidelines for providing special honorarium to the fellow of at least two of the following four Academies identified by the UGC :

1. National Academy of Science, Allahabad.
2. Indian Science Academy, Bangalore.
3. Indian National Science Academy, New Delhi.
4. Indian National Science Academy of Engg., New Delhi.

A teacher can avail only one of the honorarium and not more than one i.e. either from CSIR as Bhatnagar Awardee or UGC Scheme.

A copy of the guidelines is attached herewith.

With regards,

Yours sincerely,

(Dr. C.S. Meena)

Encl: As above  
The Vice-Chancellor  
Indian Institute of Technology  
Powai, Mumbai-400 076

130 Dean (CF) may pl. see and advise.

(The matter has been discussed in a Dean's meeting. If this applies to IITs, it should be implemented immediately. It may be sent to MHRD for similar faculty to IITs. Dean (CF) may pl. see and advise. A.R. Adhikari 21/4/09

Copy to:

1. The Secretary, Deptt. Of Hr. Education, MHRD, Govt. of India, Shastri Bhawan, New Delhi.
2. The Secretary, Director General, CSIR, Anusandhan Bhawan, 2 Rafi Marg, New Delhi - 110001.
3. JS (SU/JS(CU)/JS(DU), UGC, New Delhi.
4. DS to Chairman, UGC, New Delhi.
5. PS to Vice Chairman/PS to Secretary, UGC, New Delhi.
6. Publication Officer, New Delhi for posting on UGC Website.
7. SO (Meeting Cell), UGC, New Delhi (Item No. 1.02 (a) v dt. 27.1.2009.
8. Guard File.

Juvekar  
will act after  
it of reply  
JIT Kap.

21/4/09

V.K. Jaiswal  
(V.K. Jaiswal)  
Under Secretary

DR (Acad) DR (Admin) AR (Admin I)

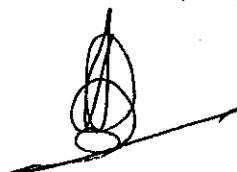
## UNIVERSITY GRANTS COMMISSION

Guidelines for providing honorarium of Rs. 15,000/p.m. to the Teachers who are fellows of at least two of the four specified Science Academies.

### **Introduction:**

1. Based on a suggestion by Scientific Advisory Committee of the Prime Minister, University Grants Commission vide its meeting held on 24 Oct. 2006 approved the proposal for providing honorarium of Rs. 15,000/- p.m. (Rupees fifteen thousand only) to teachers of the Universities who are S.S. Bhatnagar Prize Winners or are fellows of at least two of the specified Science Academies. The case of honorarium to Bhatnagar Prize winners has been undertaken by CSIR with effect from 1st April 2008. The present scheme, therefore, is confined to providing honorarium to teachers who are members of at least two of the four specified Science Academies.
2. The University Grants Commission vide its notification of 30<sup>th</sup> April, 2008 further appointed a committee of Experts to lay down the guidelines for the above award of honorarium. The Committee came up with the guidelines which was placed before the Commission and was approved by the Commission in its meeting held on 18<sup>th</sup> - 19<sup>th</sup> June 2008. The Commission decided as under:  
*"The Commission approved the XI Plan guidelines for the scheme of providing honorarium to teachers who are fellows of any two of the four identified science academies. It was further decided that the Pro Vice-Chancellor and Vice-Chancellors may also be considered under the scheme and the scheme shall be operational only in respect of the institutions approved under Sections 2(f) or 3 and 12(B) of the UGC Act, 1956".*
3. **Eligibility / Target Group**  
The eligibility/Target groups for the award of honorarium are as under:
  - 3.1 The Teacher should be fellow of at least two of the four Academies identified by the UGC. The identified academies are as under:
    - National Academy of Science, Allahabad
    - Indian Science Academy, Bangalore
    - Indian National Science Academy, New Delhi
    - Indian National Academy of Engineering, New Delhi
  - 3.2 The Teacher should be working in a public funded university eligible to receive assistance from UGC including central sources under Section 12(B) of the UGC Act, 1956. Teachers of the private and self financing Universities will not be eligible under this scheme.

Contd---



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- 3.3 The honorarium of Rs. 15,000/- p.m. shall be paid till the person attains the age of 65 years or attains superannuation whichever is earlier.
- 3.4 The Teacher should have published at least 5 research papers in peer reviewed journals of International Standard in the preceding 5 years.
- 3.5 The Teacher can avail only one of the Honorarium and not more than one i.e. either from CSIR as Bhatnagar awardee or UGC scheme.
- 3.6 The honorarium be paid to all the Teachers of the eligible Universities/Institutions/Colleges who are fellows of at least two science academies irrespective of the number of the teachers in the University and the size of the University.
4. **Process of Application for the Award of Honorarium under the present Scheme**
- 4.1 Teachers who are eligible as given in Section '3' above should apply to the Head of the University/College i.e. Vice-Chancellor/Principal for the award of the above said honorarium giving evidence of being fellow of at least two of the four Science Academies as spelt out in Section 3.1. They should also furnish evidence of producing at least five publications in peer reviewed journals of International standard in the preceding five years prior to the date of Application. In addition to the above, the teacher should also submit authentic certificate testifying his age (e.g. High School or any equivalent certificate giving date of birth).
- 4.2. The University /College (Vice-Chancellor/Principal) should screen the applications and choose /select the awardees who are eligible as per the guidelines and requirements given in Section 3 and 4.1.
- 4.3 The University/College should send the request to UGC to release funds corresponding to the requirements for one financial year for all teachers (see Section 4.2) who are to be paid under this scheme.
- 4.4 The procedure as laid down in 4.2 and 4.3 will continue in succeeding years. The changes in funds required arising out of some teachers who were eligible and getting honorarium achieving the age of 65 years or superannuation and or fresh teachers becoming eligible.
- 4.5 The UGC will release the funds over and above general development assistance to Universities/Colleges. This is a separate scheme and not merged scheme of XI Plan, as required vide Section 4.3 to the University/College who will dispense the money through payment of honorarium of Rs. 15,000/- p.m. to the selected teachers. The payment will become effective from 01.04.2008.

No.F.1-14/2006 (CPP-II)

-0:-



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प्रो. समीर के. ब्रह्मचारी  
महानिदेशक, वै.ओ.अ.प.  
एवं सचिव, भारत सरकार  
वैज्ञानिक तथा औद्योगिक अनुसंधान विभाग

Prof. Samir K. Brahmachari  
Director General, CSIR  
& Secretary, Government of India  
Department of Scientific & Industrial Research

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ANNEXURE - III TO ITEM NO.5.

वैज्ञानिक तथा औद्योगिक अनुसंधान  
अनुसंधान भवन, 2, रफी मार्ग, नई दिल्ली  
COUNCIL OF SCIENTIFIC & INDUSTRIAL  
Anusandhan Bhawan, 2, Rafi Marg, New Delhi

D.O. No. DG/PS/144/2008-162  
April 1, 2008

Dear Professor Thorat,

As you are aware, Scientific Advisory Committee to Prime Minister (SAC-PM) had recommended a special honorarium of Rs.15,000/- p.m. to all the Shanti Swarup Bhatnagar Prize winners till their superannuation. Though, UGC and some other institutions have already implemented this recommendation, SSB Awardees from some institutions have requested CSIR, the Awarding Agency, to implement the recommendations for all the SSB Awardees. I am happy to inform you that Governing Body of CSIR has now approved a special honorarium of Rs.15,000/- p.m. to all SSB Awardees currently serving in public funded organizations from January, 2008 onwards. I understand that institutions under UGC must have paid the honorarium upto the end of financial year, 2007-2008. I request you to kindly inform all institutions under UGC that this special honorarium will be paid to the SSB Awardees in addition to their salaries with effect from 1<sup>st</sup> April, 2008 by CSIR till they attain the age of 65 years. However, the aforementioned incentive shall be admissible so long as the recipient is working in the public funded organization.

Over the years, the SSB Prize has acquired the status of the most coveted award for recognizing merit in Indian Science. To maintain its preeminent position as the topmost prize of the country, it has also been decided to increase the prize money from Rs.2 lakhs to Rs.5 lakhs per awardee from the year 2008 onwards.

I am sure, these incentives (will make the SSB Prize more attractive and motivate young researchers to excel in the areas of their research and contribute to countries economic growth.)

With kind regards,

Yours sincerely,



[ Samir K Brahmachari ]

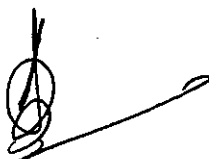
Prof. Sukhadeo Thorat  
Chairman  
University Grants Commission  
Bahadur Shah Zafar Marg  
New Delhi 110002.

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Tel: 23717051, Fax: 91-11-23710618; Residence: 91-11-64519928. 2AR34500  
E-mail: drsukhadeo@ugc.ernet.in

**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE****Budget - Estimate for Normal Plan Grant  
for the Financial Year 2012-13****A. PLAN****(Rs. in lacs)**

<b>S. No.</b>	<b>Programme</b>	<b>Normal Plan Grant BE 2012-13</b>
1	Development of Laboratory Infrastructure	5262.00
2	Library (Books & Journals), Computing, Networking and Multimedia facilities	2700.00
3	Ph. D and M. Tech Assistantship	2400.00
4	Building and Works	5561.54
<b>TOTAL</b>		<b>15923.54</b>



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**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE**  
**Budget - Estimate for Plan Grant**  
**for the Financial Year 2012-13 (Normal Grant)**

		(Rs. in lacs)
S. No.	Programme	BE 2012-13
<b>1 (a)</b>	<b>Laboratory Infrastructure for Departments/ Centres</b>	
	Analytical Equipment/ Instruments for 03 Centres of Excellence	250.00
	Academic Departments/ Centres	3810.30
	Central Facilities	
	IIC	500.00
	Hospital	41.70
	National facility on Geochronology	5.00
	Educational Technology cell	16.70
	NMR facility upgradation	15.00
	Security	5.00
	EPABX	25.00
	IPR Cell	20.00
	Transportation	10.00
	Training Placement and Industrial Liaison	8.30
	Macromolecular Crystallographic Unit (A Multi Disciplinary Instrument)	25.00
	Student Amenities	100.00
	Sports facilities upgradation	300.00
	Administration	30.00
<b>1 (b)</b>	Post Doctoral Fellowships (including Faculty Project grant)	50.00
<b>1 (c)</b>	Strengthening of Infrastructure (Class room, Audio Visual Aids etc.)	50.00
	<b>Sub Total</b>	<b>5262.00</b>
<b>2</b>	<b>Library Computing, Networking &amp; Multimedia Facilities</b>	
	a. Library : Subscription of Books, Print and e-journals in Science &	800.00
	b. Computing, and Multimedia Facilities	400.00
	c. Office Automation & ERP Solution	500.00
	d. Networking in students hostels (old + new)	1000.00
	<b>Sub Total</b>	<b>2700.00</b>
<b>3</b>	<b>Ph. D and M. Tech Assistantship</b>	<b>2400.00</b>
	<b>Sub Total</b>	<b>2400.00</b>
<b>4(a)</b>	<b>Building &amp; Works - Ongoing Activities</b>	
	(a) Ground Water Drainage System in Roorkee Campus	500.00
	(b) Ground Water Drainage System in Saharanpur Campus	100.00
	(c) Sewerage System and STP Roorkee Campus	700.00
	(d) Sewerage System and STP Saharanpur Campus	61.54
	(e) Construction of Multy Activity Centre in IIT Roorkee Campus	700.00
	(f) Construction of 'A' Cat. Residences at Saharanpur Campus	300.00
	<b>Sub Total</b>	<b>2361.54</b>
<b>4(b)</b>	<b>New Proposed Works</b>	
	(a) HT & LT cable & energy saving of light fittings/ceiling fans etc.	150.00
	(b) Tube Well / Pipe line in Roorkee/ Saharanpur Campus	50.00
	(c) Furniture for hostel and Departments	50.00
	(d) Extension /Renovation of Academic & Admn. Building	100.00
	(e) Extension /Renovation of Hostels	100.00
	(f) Extension /Renovation of Residences	100.00
	(g) Rewiring of Department /Residences in IIT Roorkee	50.00
	(h) Widening and relaying of roads	850.00
	(i) New 11 KV DG sets 2X155 KVA at IIT Roorkee Campus	750.00
	<b>Sub Total</b>	<b>2200.00</b>
<b>4(c)</b>	<b>Development of Greater Noida Extension Centre of IITR</b>	
	(Activities convention centre, incubation centre, Guest House, Faculty Rooms):	1000.00
		1000.00
	<b>Total [ 3(a), (b) &amp; (c) ]</b>	<b>5561.54</b>
	<b>GRAND TOTAL</b>	<b>15923.54</b>

**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE**  
**BUDGET ESTIMATES**  
Non Plan Grant 2012-13

**B. NON-PLAN**

Sl. No.	Name of the Autonomous Body	Particulars	Actual 2010-11	Budget Estimates 2011-12	Actual for 2011-12 up to January, 2012	Estimated Exp. for 2011-12 up to March, 2012	Budget Estimates 2012-13	Rs. in Lakh Justification
1	2	3	5	6	8		10	12
	INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE	<b>A. SALARY</b>						Salary & Pension Components increased by 18% due to provision of 2DAs+3% increments and Rs. 6.00 crore is added for additional faculty recruitment (Aprox. 50 No.)
		Faculty	4552.21	6496.00	4552.15	4965.98	6600.00	
		Non-Faculty	2987.18	4104.00	3229.40	3522.98	4300.00	
		<b>Total Faculty &amp; Non-Faculty</b>	<b>7539.39</b>	<b>10600.00</b>	<b>7781.55</b>	<b>8488.96</b>	<b>10900.00</b>	
		<b>B. PENSION</b>						
		Faculty & Non-Faculty	1991.02	1800.00	1928.01	2103.28	2600.00	
		<b>C. Other Component (These item should not be included in Salary &amp; Pension indicated above)</b>						
		(i) Leave Encashment	428.03	390.00	301.56	361.872	420.00	
		(ii) LTC	124.16	100.00	98.05	117.66	135.00	
		(iii) Retirement Benefit	863.53	810.00	676.51	811.812	940.00	
		(iv) Children Education Allowance	41.24	50.00	72.97	87.564	100.00	Other Component increased by 15%
		(v) Contribution to Pension fund	19.62	27.50	17.07	20.484	25.00	
		(vi) Contribution to New Pension Scheme	71.93	80.00	103.89	124.668	150.00	
		(vii) Professional Development Allowance (PDA) (only for Technical Institute)	255.45	190.00	212.59	255.108	300.00	
		(viii) Reimbursement (Telephone & Internet)			4.83	5.796	8.00	
		(ix) Medical	256.77	300.00	256.77	308.124	350.00	
		<b>Total (i to vii)</b>	<b>2060.73</b>	<b>1947.50</b>	<b>1744.24</b>	<b>2093.09</b>	<b>2428.00</b>	
		<b>D. TOTAL (Salary + Pension + Other Component) i.e. (A+B+C)</b>	<b>11591.14</b>	<b>14347.50</b>	<b>11453.80</b>	<b>12685.34</b>	<b>15928.00</b>	
		<b>E. NON SALARY COMPONENT (Item wise details is to be annexed) Annexure-X</b>	4195.98	6505.50	2037.17	2444.60	3641.70	Non Salary Component increased by 10% and some retained as same last year BE marked *
	<b>Grand Total</b>	<b>Total (D+E)</b>	<b>15787.12</b>	<b>20853.00</b>	<b>13490.97</b>	<b>15129.94</b>	<b>19569.70</b>	



**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE**  
**Details of Headwise Non-Salary Component**

**Annexure-X**

		Actuals 2010-11	Budget Estimates 2011-12	Actual for 2011-12 up to January, 2012	Estimated Exp. for 2011- 12 up to March, 2012	Budget Estimates 2012-13
(Rs. in lacs)						
<b>E</b>	<b>Part -E Non Salary Component</b>					
1	Salary Wages to Contractual Staff	130.21	170.00	157.71	189.25	210.00
2	Traveling Allowance	59.11	100.00	44.69	53.63	60.00
3	HRD	0	5.00	0.75	0.90	1.50
4	Training & Placement	7.11	15.00	4.66	5.59	6.00
5	Publication	4.8	12.00	5.33	6.40	7.00
6	Membership Fee	0.34	2.50	1.28	1.54	1.70
7	Convocation & Other Functions	13.27	15.00	13.82	16.58	20.00
8	Advertisement	5.16	35.00	7.44	8.93	10.00
9	Transport /Vehicle Maintenance & Opt	8.73	8.00	7.45	8.94	10.00
10	Legal Expenses	4.56	4.00	1.92	2.30	3.00
11	Postage & Telegram	1.97	4.00	1.62	1.94	2.50
12	Guest House	2.06	6.00	2.64	3.17	3.50
13	Audit Expenses	10.77	10.00	14.18	17.02	20.00
14	Telephones	31.63	35.00	24.79	29.75	32.00
15	Printing & Stationery	15.08	22.00	6.81	8.17	10.00
16	Contingencies	34.95	50.00	39.18	47.02	50.00
17	HINDI CELL	2.45	6.00	1.61	1.93	2.50
18	Library (DOC)	330.01	300.00	41.19	49.43	300.00*
19	Study Tour	9.51	13.00	5.16	6.19	6.00
20	Student Amenities (Including NCC)	18.1	16.00	18.94	22.73	25.00
21	SC/ST Student Fee	32.41	35.00	20.89	25.07	28.00
22	PG Examinations	64.27	50.00	51.43	61.72	70.00
23	UG Examinations	35.1	25.00	18.70	22.44	25.00
24	Preparatory Course	0	3.00	0.00	0.00	4.00
25	National Conference/Symposium	0.63	25.00	1.36	1.63	2.00
26	International National Conference/Symposium			6.04	7.25	8.00
27	Department Operating Cost	295.65	400.00	199.30	239.16	300.00*

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28	FIG	1.85	3.00	3.23	3.88	100.00 *
29	Assistantship/Merit-cum-Means	1756.56	3000.00	131.98	158.38	180.00
30	Computerization & Computer Support	5.94	70.00	4.71	5.65	10.00
31	Greater Noida Extension Centre	0	0.00	51.07	61.28	70.00
32	AMC for Sophisticated instruments	0	100.00	0.00	0.00	100.00
33	Estate Maintenance	503.43	750.00	510.04	612.05	750.00 *
34	Electric Maintenance	129.42	244.00	71.99	86.39	244.00 *
35	Power & Fuel	546.82	930.00	515.48	618.58	930.00 *
36	Property Tax	5.1	5.00	3.83	4.60	5.00
37	Repair & Maintenance of Equipment	2.92	10.00	2.06	2.47	10.00 *
38	Repair & Maintenance of Furniture	16.07	25.00	4.23	5.08	25.00 *
39	Refund Security/etc.	51.08	0.00	39.66	47.59	0.00
40	Mise other	58.91	2.00			0.00
	<b>Total of C</b>	<b>4195.98</b>	<b>6505.50</b>	<b>2037.17</b>	<b>2444.60</b>	<b>3641.70</b>

Registered/Confidential



कार्यालय प्रधान महालेखाकार (लेखा परीक्षा), उत्तराखण्ड  
OFFICE OF THE PRINCIPAL ACCOUNTANT GENERAL (AUDIT),  
UTTARAKHAND



No. CAW/AG/AB(C)/SAR/ IIT(R)/2010-11  
Date:

To,

The Secretary,  
Department of Education, Government of India,  
Ministry of Human Resources Development  
New Delhi -110001.

Sub: Separate Audit report on the Accounts of Indian Institute of Technology,  
Roorkee for the year 2010-11.

Sir,

1. I am to forward herewith the Separate Audit Report and certified copy of annual accounts (English Version) in respect of Indian Institute of Technology, Roorkee for the year 2010-11.
2. The Separate Audit Report and the certified accounts may please be placed on the table of both the Houses of Parliament.
3. The date on which the Separate Audit Report and the certified accounts are placed on the table of both the Houses of Parliament may please be intimated to the Comptroller and Auditor General of India as well as to this office.

Receipt of this document may please be acknowledged.

Yours faithfully,

Enclosure: - As above

sd/—

(JAYANT KHANNA)  
Deputy Accountant General

No. CAW/AG/AB(C)/SAR/ IIT(R)/2010-11/ 183

Dated: 05-10-2011

1. ✓ Copy along with a copy of the Separate Audit Report for 2010-11 forwarded to the Director, Indian Institute of Technology, Roorkee for information and necessary action.
2. Sr. Administrative Officer Report (AB), O/o the Comptroller & Auditor General of India, 10- Bahadur Shah Zafar Marg, New Delhi-110124 with reference to his letter No. 74648/Report (AB)/257-2011 dated 23.09.2011.

Receipt of this document may kindly be acknowledged.

Enclosure: - As above

(JAYANT KHANNA)  
Deputy Accountant General



**INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE**  
**ROORKEE-247667**

**Subject: Approval of the Audit Certificate 2010-11 of Accounts of the Institute**


I wish to bring it to your kind attention that the Institute has received the Audit certificate for the Financial Year 2010-2011 from Principal Accountant General (Audit), Uttarakhand. A copy of same is herewith enclosed for your kind perusal and consideration please.

Further, I wish to state that this Audit Report and Certificate of Accounts are to be placed on the table of both the houses of Parliament. Therefore, it is requested that the approval for this Audit certificate of Accounts of the Institute may kindly be accorded.

The entire matter shall be reported in the next meeting of the Finance committee and Board of Governors.

With profound personal regards,

Yours sincerely,

  
(Raddipta Banerji)  
Director

**Sri Analjit Singh Ji**  
Chairman, BoG, I.I.T. Roorkee  
Chairman, Max India Limited,  
Max House,  
1 Dr. Jha Marg, Okhla Phase-III  
New Delhi-110020

*Accepted and Approved*

*Analjit Singh Ji*

*17/10/11*

*Chairman IIT-R*  
Chairman  
BoG, IIT Roorkee

Encl: As Above

UIN/DIR/IITR/

Dated: October , 2011

**Separate Audit Report of the Comptroller & Auditor General of India on the accounts of Indian Institute of Technology, Roorkee for the year ended 31 March 2011**

We have audited the attached Balance Sheet of Indian Institute of Technology, Roorkee (IITR) as on 31 March 2011 and Income & Expenditure Account/Receipt & Payment Account for the year ended on that date under Section 19 (2) of the Comptroller & Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 23 (2) of the Institute of Technology Act, 1961. These financial statements are the responsibility of the IITR's management. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification; conformity with the best accounting practices, accounting standards and disclosures norms, etc. Audit observations on financial transactions with regard to compliance with the Law, Rules & Regulations (Propriety and Regularity) and efficiency -cum performance aspects etc., if any, are reported through Inspection Reports/CAG's Audit Reports separately.
3. We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on test basis, evidences supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.
4. Based on our audit, we report that :
  - i. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit.
  - ii. The Balance Sheet and Income & Expenditure Account/Receipt & Payment Account dealt with by this report have been drawn up in the format approved by the Ministry of Finance.

iii. In our opinion, proper books of accounts and other relevant records have been maintained by IITR as required under Section 23 (1) of the Institute of Technology Act, 1961 in so far as it appeared from our examination of such books.

iv. We further report that:

**A. Balance Sheet**

**1. Current Liabilities & Provisions (Sch-2A, 2B & 2C) ₹ 146.38 crore**

(a) Above does not include ₹ 7.96 crore being the amount of bill, on account of construction of Multistoried Technology Building at Saharanpur, received prior to 31 March 2011 (28.3.2011).

This has resulted in understatement of current liabilities as well as works in progress by ₹ 7.96 crore respectively.

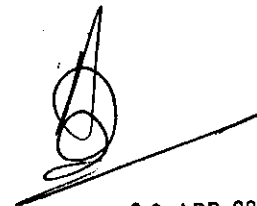
(b) Above does not include ₹ 1.63 crore in respect of bill/utilization certificate submitted by Engineers India Ltd (contractor) on account of Development of Extension Centre at Greater Noida prior to 31 March 2011.

This has resulted in understatement of current liabilities and Works in Progress by ₹ 1.63 crore respectively.

**2. Current Liabilities & Provisions  
Expenses payable/other payable (Sch-3) ₹ 9.56 crore**

2.1 This does not include ₹ 0.08 crore representing the amount of books & journals purchased for which bills were submitted by agencies prior to 31 March 2011. This has resulted in understatement of expenses payable and overstatement of income over the expenditure by ₹ 0.08 crore respectively.

2.2 This does not include ₹ 0.31 Crore relating to unpaid salary and telephone bill for the month of March 2011. This has resulted in understatement of Expenses payable and overstatement of Income over the expenditure by ₹ 0.31 Crore.



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3. **Fixed Assets**  
**Fixed Assets- (Sch 4A)**

₹ 1083.99 Crore

This includes ₹ 0.33 crore being value of assets sold during the financial year 2009-10. The sale value of the assets was ₹ 6552. Thus ₹ 0.33 crore (₹ 0.33 crore - ₹ 0.0006552 = ₹ 0.33.00 crore) should have been charged to expenditure under the head income and expenditure which was not done. This has resulted in overstatement of surplus by ₹ 0.33 crore and overstatement of fixed assets by ₹ 0.33 crore.

4. **Cash and Bank Balance (Sch 6 -B) Boys Fund Accounts- ₹- 1.04 crore**

The above includes unutilised amount of ₹ 0.09 crore which pertains to the period prior to 31 March 2003. More than 8 years have been elapsed, however, adjustment of these transactions have not been made. Neither, reasons for non-adjustment of these transactions were furnished to audit nor detail/relevant records provided to audit. As a result correctness of these transactions could not be verified in the audit.

5. **Advances for Letter of Credit & other**  
**Capital Advances (Sch-7)**

₹ 54.11 crore

5.1 Above includes ₹ 0.82 crore being the amount of advances given to the UPRNN Ltd. for construction of Multistoried Technology Block at Saharanpur (₹ 0.74 crore) and extension of the Deptt. of Mett. & Mat. Engineering (₹ 0.08 crore). These works were completed in September 2010 and October 2009 respectively and capitalized prior to March 2011 as per civil wing of the IITR. Thus advances given to UPRNN Ltd. should have been credited and capital works in Progress debited which was not done.

This has resulted in overstatement of other Capital advances and understatement of Fixed Assets by ₹ 0.82 crore respectively.

5.2 **Advance for Letter of Credit & other**  
**Capital Advances (Sch-7)**

₹ 54.11 crore

Above includes ₹ 18.00 crore being the amount of advances given to the Engineers India Limited (EIL) for development of extension centre at Greater Noida. Bills for the same had been submitted prior to 31 March 2011 by the EIL. Thus this advance should have been shown under the Schedule Capital Works-in-Progress instead of Capital Advance.

This has resulted in overstatement of Capital Advances and understatement of Capital works in progress by ₹ 18.00 crore respectively.

**5.3 Advances For Letter of Credit & Other Capital Advances (Sch-7) ₹ 54.11 crore**

This includes ₹ 0.37 crore being the amount of advances given to a professor ₹ 0.29 crore (October 2010) and another ₹ 0.083 crore (March 2010) for importing of equipments. These equipments were imported and installed prior to 31 March 2011. Thus amount of advances should have been capitalized. This has resulted in overstatement of Advances for letter of credit and understatement of Fixed Assets (Plant & Machinery) by ₹ 0.37 crore respectively.

**5.4 Advances against Letter of Credit from SRIC Account (Schedule-7-A) ₹ 1.33 crore**

Above includes ₹ 0.12 crore being the amount of advances given to a Professor (9 March 2007) for importing of equipments. Equipments were procured and installed prior to 31 March 2011. Thus this value should have been capitalized and shown under the head Fixed Assets, SRIC.

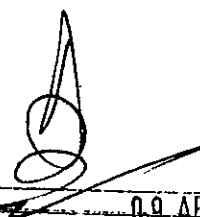
This has resulted in overstatement of Advances against Letter of Credit and understatement of Fixed Assets (SRIC Account) by ₹ 0.12 crore respectively.

**6. Advances/Imprest (Sch-8) ₹ 1.30 Crore**

The above includes ₹ 0.71 crore being the amount of advances given to HTR Personnel for various purposes which include TA/LTC advances during the period from December 1957 to 31 March 2008. These advances should have been adjusted in the account.

**B Income & Expenditure Account**  
**7. Other grant received/receivable (Schedule-2) ₹ 3.06 crore**

The above amount includes unspent balances of ₹ 1.55 crore of various grants viz. foreign travel grant, grant for contingencies and grant for Fellowships/Scholarships. As the grant stood sanctioned for specific purposes, the unspent balances should have treated as current liabilities. This has resulted in overstatement of Income and understatement of Current Liabilities by ₹ 1.55 crore respectively.





8. **Income & Expenditure Accounts**  
**Interest Income (Sch- 5)**  
**Interest Accrued - ₹0.38 crore**

Above does not include ₹ 0.47 crore being the amount of interest accrued on the initial amount deposited with construction companies (N.B.C.C/E.I.L) till March 2011.

This has resulted in understatement of interest accrued and income as well as understatement of advances to construction companies.

C. **Accounting Policies and Notes to accounts - (Schedule-10)**

The IITR had capital Commitment valuing ₹ 66.99 crore on various capital works pending execution for completion as on the Balance Sheet date. This has not been disclosed in the Notes to accounts.

D. **Grant-in-aid**

- (1) Out of the grants in aid of ₹ 244.18 Crore received by IIT Roorkee during 2010-11 (₹ 13.33 Crore was received in March 2011) IIT Roorkee could utilize a sum of ₹ 241.13 Crore leaving a Balance of ₹ 3.05 crore as unutilized grants as on 31 March 2011.

E. **General**

1. The institute has not been charging depreciation against the fixed assets, this is against the Accounting Standard-6 which is mandatory.
2. As per Accounting Standard 15 (AS-15), Leave Encashment, Gratuity and pension is required to be provided for on actuarial valuation basis. Accordingly, provision for the same should be made in the accounts of the concerned organization. However, no provision for the same was made in the accounts.

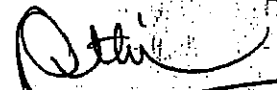
v Subject to our observations in the preceding paragraphs, we report that the Balance Sheet and Income and Expenditure Accounts and Receipts & Payment Accounts dealt with by this report are in agreement with the books of accounts.

vi In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts and subject to the significant matters stated above

and other matters mentioned at Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India:

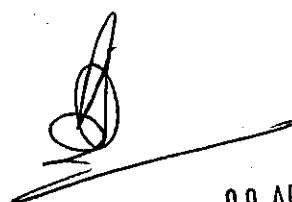
- a. In so far as it relates to the Balance Sheet, of the state of affairs of the Institute as at 31 March 2011 and
- b. In so far as it relates to the Income & Expenditure Accounts to the surplus for the year ended on that date.

For and on behalf of the  
Comptroller and Auditor General of India



(ASHWINI ATTRI)  
Principal Accountant General

Place: Dehradun  
Dated: 05-10-2011



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**Annexure -I to Audit Report**

**1. Adequacy of Internal Audit System**

The Internal Audit Wing of IITR was set up in March 2009 with the strength of 3 personnel. Since establishment of Internal Audit Wing no Internal Audit was conducted by it till July 2011. Thus the Wing was not functional till July 2011. Hence, the adequacy of Internal Audit Wing of IITR could not be ascertained with respect to the magnitude of the operations and functions of the IITR. There is a need of an independent Internal Audit Wing under the control of Director, IITR.

**2. Adequacy of Internal Control System**

There is no Internal Control mechanism in IITR, except for a non-functional Internal Audit Wing with only 3 personnel. This needs to be strengthened.

**3. System of physical verification of fixed Assets**

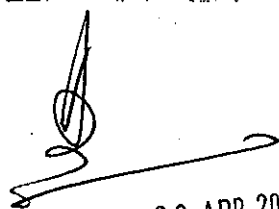
Physical verification of fixed assets pertaining to each department is to be conducted by the individual department. Most of the departments have completed the process.

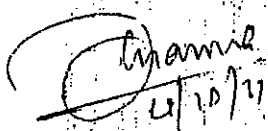
**4. System of physical verification of inventories**

The purchases are made by individual departments and the physical verification had been conducted by respective departments.

**5. Regularity in payment of statutory dues**

The statutory dues are paid regularly in due time.

  
09 APR 2012

  
Deputy Accountant General

**Appendix 'D'**  
(refer to item No. 28.9 of the  
Minutes of the 28<sup>th</sup> meeting of the FC)

**Details of Mess staff other than Managers & Accountants**

- (i) Assistant Manager / Store Keeper
- (ii) Central Purchase Assistant
- (iii) LDC
- (iv) Attendant
- (v) Head Cooks
- (vi) Gate Keepers
- (vii) Butlers
- (viii) Bearers
- (ix) Cooks
- (x) Grain Cleaners
- (xi) Masalchies
- (xii) Safar Karamcharies
- (xiii) Gas Mechanic
- (xiv) Contract Staff



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